



# On Banach subalgebras of the Dirichlet Hardy algebra $\mathcal{H}^\infty$ consisting of lacunary Dirichlet series

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**Abstract.** Let  $\mathcal{H}^\infty$  be the set of all Dirichlet series  $f = \sum_{n=1}^{\infty} a_n n^{-s}$  (where  $a_n \in \mathbb{C}$  for all  $n \in \mathbb{N} = \{1, 2, 3, \dots\}$ ) that converge at each  $s$  in the half-plane  $\mathbb{C}_0 := \{s \in \mathbb{C} : \operatorname{Re}(s) > 0\}$ , such that  $\|f\|_\infty = \sup_{s \in \mathbb{C}_0} |f(s)| < \infty$ . Then  $\mathcal{H}^\infty$  is a Banach algebra with pointwise operations and the supremum norm  $\|\cdot\|_\infty$ , and has been studied in earlier works. The article introduces a new family of Banach subalgebras  $\mathcal{H}_S^\infty$  of  $\mathcal{H}^\infty$ . For  $S \subset \mathbb{N}$ , let  $\mathcal{H}_S^\infty$  be the set of all elements  $\sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}^\infty$  such that for all  $n \in \mathbb{N} \setminus S$ ,  $a_n = 0$ . Then  $\mathcal{H}_S^\infty$  is a unital Banach subalgebra of  $\mathcal{H}^\infty$  with the  $\|\cdot\|_\infty$  norm if and only if  $S$  is a multiplicative subsemigroup of  $\mathbb{N}$  containing 1. It is shown that for such  $S$ ,  $\mathcal{H}_S^\infty$  is the multiplier algebra of  $\mathcal{H}_S^2$ , where  $\mathcal{H}_S^2$  is the Hilbert space of all  $f = \sum_{n \in S} a_n n^{-s}$  such that  $\|f\|_2 := \left( \sum_{n \in S} |a_n|^2 \right)^{\frac{1}{2}} < \infty$ . A characterisation of the group of units in  $\mathcal{H}_S^\infty$  is given, by showing an analogue of the Wiener 1/f theorem for  $\mathcal{H}_S^\infty$ . If  $S$  has an infinite set of generators allowing a unique representation of each element of  $S$ , then it is shown that the Bass stable rank of  $\mathcal{H}_S^\infty$  is infinite.

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## 1. Introduction

The aim of this article is to introduce and study some algebraic-analytic properties of a particular family  $\mathcal{H}_S^\infty$  (defined in §1.2) of Banach algebras that are contained in the Hardy algebra  $\mathcal{H}^\infty$  of Dirichlet series (recalled in §1.1). The motivation is twofold: there has been old and recent interest in studying various Banach algebras of Dirichlet series (see, e.g., [10], [14]), and the Banach algebras  $\mathcal{H}_S^\infty$  we study are also the ‘Dirichlet series analogue’ of the previously studied (see, e.g., [8]) Banach subalgebra  $H_1^\infty = \{f \in H^\infty : \|f\|_1 := \sup_{s \in \mathbb{C}_0} \sum_{n=1}^{\infty} |a_n| n^{-s} < \infty\}$ .

$f'(0) = 0\}$  of  $H^\infty$  (the classical Hardy algebra consisting of bounded and holomorphic functions on  $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$ , with pointwise operations and the supremum norm,  $\|f\|_\infty = \sup_{z \in \mathbb{D}} |f(z)|$  for  $f \in H^\infty$ ).

### 1.1. The Banach algebra $\mathcal{H}^\infty$

Let  $\mathcal{H}^\infty$  be the set of all Dirichlet series  $f = \sum_{n=1}^{\infty} a_n n^{-s}$  (where  $a_n \in \mathbb{C}$  for each  $n \in \mathbb{N}$ ) that converge at each  $s \in \mathbb{C}_0 := \{s \in \mathbb{C} : \operatorname{Re} s > 0\}$ , such that  $\|f\|_\infty := \sup_{s \in \mathbb{C}_0} |f(s)| < \infty$ . We call  $a_n$  the  $n^{\text{th}}$  coefficient of  $f$ . With pointwise operations and the supremum norm,  $\mathcal{H}^\infty$  is a Banach algebra (introduced in [10]). Multiplication in  $\mathcal{H}^\infty$  is also given by

$$\left( \sum_{n=1}^{\infty} a_n n^{-s} \right) \cdot \left( \sum_{n=1}^{\infty} b_n n^{-s} \right) = \sum_{n=1}^{\infty} \left( \sum_{(N \ni) d \mid n} a_d b_{\frac{n}{d}} \right) n^{-s},$$

where the notation  $d \mid n$  means  $d \in \mathbb{Z}$  divides  $n \in \mathbb{Z}$ . The unit element is  $1 := \sum_{n=1}^{\infty} \delta_{n1} n^{-s}$ , where  $\delta_{n1} = 0$  for  $n \neq 1$  and  $\delta_{11} = 1$ . The Banach algebra  $\mathcal{H}^\infty$  is precisely the multiplier space of the Hilbert space  $\mathcal{H}^2$ , where

$$\mathcal{H}^2 = \left\{ f = \sum_{n=1}^{\infty} a_n n^{-s} : \text{such that } \|f\|_2 := \sqrt{\sum_{n=1}^{\infty} |a_n|^2} < \infty \right\}$$

(see [10, Thm. 3.1]). The inner product in  $\mathcal{H}^2$  is given by  $\langle f, g \rangle = \sum_{n=1}^{\infty} a_n \overline{b_n}$ , where  $f = \sum_{n=1}^{\infty} a_n n^{-s}$  and  $g = \sum_{n=1}^{\infty} b_n n^{-s} \in \mathcal{H}^2$ . Each element  $f \in \mathcal{H}^2$  defines a holomorphic function in  $\mathbb{C}_{\frac{1}{2}} = \{s \in \mathbb{C} : \operatorname{Re} s > \frac{1}{2}\}$ . If  $\zeta$  denotes the Riemann zeta function,  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$ , ( $\operatorname{Re} s > 1$ ), then for each  $a \in \mathbb{C}$  such that  $\operatorname{Re} a > \frac{1}{2}$ ,  $\zeta_a(s) = \sum_{n=1}^{\infty} n^{-a} n^{-s}$  belongs to  $\mathcal{H}^2$ . For  $f = \sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}^\infty$ , we have  $\|f\|_2 \leq \|f\|_\infty$  (so that  $\mathcal{H}^\infty \subset \mathcal{H}^2$ ), and in particular,  $|a_n| \leq \|f\|_\infty$  for all  $n \in \mathbb{N}$  (see, e.g., [7, Prop. 1.19]). The set  $\{e_n := n^{-s} : n \in \mathbb{N}\}$  forms an orthonormal basis for  $\mathcal{H}^2$ . For  $a \in \mathbb{C}_{\frac{1}{2}}$ , with  $K_a(s) := \sum_{n=1}^{\infty} e_n(s) \overline{e_n(a)} = \zeta_a(s) = \zeta(s + \bar{a})$ , we have  $f(a) = \langle f, K_a \rangle$  for all  $f \in \mathcal{H}^2$ . The Hilbert space  $\mathcal{H}^2$  is a reproducing kernel Hilbert space with kernel function given by  $K_{\mathcal{H}^2}(s, a) = \zeta(s + \bar{a})$ , for  $s, a \in \mathbb{C}_{\frac{1}{2}}$ .

### 1.2. The set $\mathcal{H}_S^\infty$

For  $S \subset \mathbb{N}$ , define  $\mathcal{H}_S^\infty$  to be the set of all elements  $f = \sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}^\infty$  such that for all  $n \in \mathbb{N} \setminus S$ ,  $a_n = 0$ .

### 1.3. Organisation of the article

We show in Section 2 that  $\mathcal{H}_S^\infty$  is a unital Banach subalgebra of  $\mathcal{H}^\infty$  with the supremum norm if and only if  $S$  is a multiplicative subsemigroup of  $\mathbb{N}$  containing 1. In Section 3, we show that just as  $\mathcal{H}^\infty$  is exactly the multiplier algebra of  $\mathcal{H}^2$ , the Banach algebra  $\mathcal{H}_S^\infty$  is exactly the multiplier algebra of a certain Hilbert subspace  $\mathcal{H}_S^2$  of  $\mathcal{H}^2$ . In Section 4, we characterise the group of units in  $\mathcal{H}_S^\infty$ . In Section 5 we relate  $\mathcal{H}_S^\infty$  to a natural Banach subalgebra of the Hardy algebra  $H^\infty(B_{c_0})$  on the unit ball  $B_{c_0}$  of  $c_0$  (space of

complex sequences converging to 0 with termwise operations and the supremum norm), with vanishing derivatives of certain orders at  $\mathbf{0} \in c_0$ . Finally, in Section 6, we prove that if  $S$  has an infinite set of generators allowing a unique representation of each element of  $S$ , then the Bass stable rank of  $\mathcal{H}_S^\infty$  is infinite, and also state a related conjecture.

## 2. When is $\mathcal{H}_S^\infty$ an algebra?

We show that  $\mathcal{H}_S^\infty$  is a unital Banach subalgebra of  $\mathcal{H}^\infty$  with the  $\|\cdot\|_\infty$  norm if and only if  $S$  is a multiplicative subsemigroup of  $\mathbb{N}$  containing 1. A subset  $S$  of a semigroup  $\Sigma$  is a *subsemigroup* of  $\Sigma$  if  $SS := \{s_1s_2 : s_1, s_2 \in S\} \subset S$ . By a subalgebra  $B$  of a complex algebra  $A$  (see, e.g., [15, Def. 10.1]), we simply mean that  $B$  is closed under the algebraic operations inherited from  $A$ .

**Proposition 2.1.** *The following are equivalent:*

- (1)  $S$  is a multiplicative subsemigroup of  $\mathbb{N}$ .
- (2)  $\mathcal{H}_S^\infty$  is a subalgebra of  $\mathcal{H}^\infty$ .
- (3)  $\mathcal{H}_S^\infty$  is a Banach algebra with the supremum norm.

*Proof.* (1)  $\Rightarrow$  (2): Let  $S$  be a multiplicative subsemigroup of  $\mathbb{N}$ . We just show closure under multiplication. Let  $f = \sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}_S^\infty$  and  $g = \sum_{n=1}^{\infty} b_n n^{-s} \in \mathcal{H}_S^\infty$ .

The  $n^{\text{th}}$  coefficient of  $fg$  is given by  $c_n := \sum_{d|n} a_d b_{\frac{n}{d}}$ . If  $c_n \neq 0$ , then at least one of the summands, say  $a_d b_{\frac{n}{d}}$  is nonzero, implying that  $d, \frac{n}{d} \in S$ , and so  $n = d \frac{n}{d} \in S$ . Thus  $fg \in \mathcal{H}_S^\infty$ . Consequently,  $\mathcal{H}_S^\infty$  is a subalgebra of  $\mathcal{H}^\infty$ .

(2)  $\Rightarrow$  (1): Let  $\mathcal{H}_S^\infty$  be a subalgebra of  $\mathcal{H}^\infty$ . If  $m, n \in S$ , then since for  $f = n^{-s}$  and  $g = m^{-s}$  in  $\mathcal{H}_S^\infty$ , we have  $(nm)^{-s} = fg \in \mathcal{H}_S^\infty$ , we get  $nm \in S$ . Thus  $S$  is a multiplicative subsemigroup of  $\mathbb{N}$ .

(3)  $\Rightarrow$  (2) is trivial. We now show (2)  $\Rightarrow$  (3), i.e.,  $\mathcal{H}_S^\infty$  is a closed subset of  $\mathcal{H}^\infty$ . Let  $(f_m)_{m \in \mathbb{N}}$  be Cauchy in  $\mathcal{H}_S^\infty$ . Write  $f_m = \sum_{n=1}^{\infty} a_n^{(m)} n^{-s}$ . Then  $(f_m)_{m \in \mathbb{N}}$  is Cauchy in  $\mathcal{H}^\infty$ , and so converges to some  $f = \sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}^\infty$ . Let  $n \in \mathbb{N} \setminus S$ . Then  $a_n^{(m)} = 0$  for all  $m \in \mathbb{N}$ . So  $|a_n| = |a_n - a_n^{(m)}| \leq \|f - f_m\|_\infty$  for all  $m \in \mathbb{N}$ . Passing to the limit as  $m \rightarrow \infty$ ,  $|a_n| \leq 0$ , i.e.,  $a_n = 0$ . So  $f \in \mathcal{H}_S^\infty$ .

For a multiplicative subsemigroup  $S$  of  $\mathbb{N}$ ,  $\mathcal{H}_S^\infty$  is unital if and only if  $1 \in S$ .

*Example 2.2.* We list some multiplicative subsemigroups of  $\mathbb{N}$  containing 1.

- (1) For a prime  $p$ , let  $S := \{p^k : k \in \mathbb{N} \cup \{0\}\}$ .

$(\mathcal{H}_S^\infty \ni \sum_{n=1}^{\infty} a_n n^{-s} \mapsto \sum_{n=1}^{\infty} a_n z^n \in H^\infty)$  is then a Banach algebra isomorphism from  $\mathcal{H}_S^\infty$  to  $H^\infty$ .)

More generally, for any  $n \in \mathbb{N}$ , define  $S := \{n^k : k \in \mathbb{N} \cup \{0\}\}$ .

- (2) For primes  $p_{i_1} < \dots < p_{i_m}$ , let  $S = \{p_{i_1}^{k_1} \cdots p_{i_m}^{k_m} : k_1, \dots, k_m \in \mathbb{N} \cup \{0\}\}$ .

- (3) Let  $\chi_0$  be the principal Dirichlet character of modulus  $m \in \mathbb{N}$ , i.e.,  $\chi_0(n) = 1$  if  $\gcd(n, m) = 1$ , and  $\chi(n) = 0$  if  $\gcd(n, m) > 1$ , where  $\gcd(n, m)$  denotes the greatest common divisor of  $n, m \in \mathbb{N}$ .

Then  $S_m = \{n \in \mathbb{N} : \chi_0(n) \neq 0\}$  is a multiplicative subsemigroup of  $\mathbb{N}$  containing 1. Let  $p_1 < p_2 < p_3 < \dots$  be all the prime numbers. For  $n \in \mathbb{N}$ , the fundamental theorem of arithmetic gives a unique compactly supported sequence  $(\nu_k(n))_{k \in \mathbb{N}}$  in  $\mathbb{N} \cup \{0\}$  such that  $n = \prod_{k=1}^{\infty} p_k^{\nu_k(n)}$ . As  $\gcd(n, m) = \prod_{k=1}^{\infty} p_k^{\min\{\nu_k(n), \nu_k(m)\}}$ ,  $\gcd(n, m) = 1$  if and only if for all  $k \in \mathbb{N}$ ,  $\min\{\nu_k(n), \nu_k(m)\} = 0$ .

So  $S_m = \{n = \prod_{k=1}^{\infty} p_k^{\nu_k(n)} : \text{for all } k \in \mathbb{N}, \nu_k(n) = 0 \text{ if } \nu_k(m) > 0\}$ . Since the intersection of multiplicative subsemigroups of  $\mathbb{N}$  is a multiplicative subsemigroup of  $\mathbb{N}$ , for any subset  $F \subset \mathbb{N}$ ,  $S_F := \cap_{m \in F} S_m$  is a multiplicative subsemigroup of  $\mathbb{N}$  containing 1. If  $F$  is a finite nonempty set  $F = \{m_1, \dots, m_n\} \subset \mathbb{N}$ , then  $S_F := \cap_{m \in F} S_m = S_{\text{lcm}(m_1, \dots, m_n)}$ , where  $\text{lcm}(m_1, \dots, m_n)$  is the least common multiple of  $m_1, \dots, m_n$ .

- (5)  $S = \mathbb{N}$ . (Then  $\mathcal{H}_S^\infty = \mathcal{H}^\infty$ .)
- (6)  $S = \{1\}$ . (Then  $\mathcal{H}_S^\infty$  is isomorphic to the Banach algebra  $\mathbb{C}$ .)
- (7) For  $m \in \mathbb{N}$ ,  $S := \{n^m : n \in \mathbb{N}\}$ . (E.g. for  $m = 2$ ,  $S$  is the set of all squares.)
- (8) The set  $S = \{1\} \cup \{n \in \mathbb{N} : \text{there exist } x, y \in \mathbb{N} \text{ such that } n = x^2 + y^2\}$  is a multiplicative subsemigroup of  $\mathbb{N}$  containing 1. The sum of two squares theorem (see, e.g., [6, Thm. 7, §3, Chap. IV]) provides an alternative description of  $S$ :  $n \in S$  if and only if in the prime factorisation of  $n$ , all prime factors of the form  $4k+3$  ( $k \in \mathbb{N} \cup \{0\}$ ) have an even exponent.  $\diamond$

### 3. $\mathcal{H}_S^\infty$ as the multiplier algebra of $\mathcal{H}_S^2$

It was shown in [10] that  $\mathcal{H}^\infty$  is the multiplier algebra of  $\mathcal{H}^2$ , i.e., a function  $f : \mathbb{C}_{\frac{1}{2}} \rightarrow \mathbb{C}$  satisfies  $fg \in \mathcal{H}^2$  for all  $g \in \mathcal{H}^2$  if and only if  $f$  has an extension to  $\mathbb{C}_0$  which is an element of  $\mathcal{H}^\infty$ . Moreover,  $\|f\|_\infty = \sup_{g \in \mathcal{H}^2, \|g\|_2 \leq 1} \|fg\|_2$ .

Analogously, we will now show that more generally,  $\mathcal{H}_S^\infty$  is exactly the multiplier algebra of  $\mathcal{H}_S^2$ , where for any subset  $S \subset \mathbb{N}$ , we define  $\mathcal{H}_S^2$  to be the set of all  $f = \sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}^2$  such that for all  $n \in \mathbb{N} \setminus S$ ,  $a_n = 0$ . Then  $\mathcal{H}_S^2$  is a closed subspace of  $\mathcal{H}^2$ , and  $\{n^{-s} : n \in S\}$  forms an orthonormal basis for  $\mathcal{H}_S^2$ . Define lacunary zeta function  $\zeta_S$  by  $\zeta_S(s) = \sum_{n \in S} n^{-s}$ , ( $\text{Re } s > 1$ ). For  $a \in \mathbb{C}_{\frac{1}{2}}$ ,  $\sum_{n \in S} e_n(s) \overline{e_n(a)} = \zeta_S(s + \bar{a})$ , and we have  $f(a) = \langle f, \zeta_S(\cdot + \bar{a}) \rangle$  for all  $f \in \mathcal{H}_S^2$ . The Hilbert space  $\mathcal{H}_S^2$  is a reproducing kernel Hilbert space with kernel function given by  $K_{\mathcal{H}_S^2}(s, a) = \zeta_S(s + \bar{a})$  for  $s, a \in \mathbb{C}_{\frac{1}{2}}$ . In particular, in Example 2.2(2),  $\mathcal{H}_{S_m}^\infty$  is a reproducing kernel Hilbert space with the kernel given by  $K_{\mathcal{H}_{S_m}^\infty}(s, a) = L(s + \bar{a}, \chi_0)$ , where  $L$  is the Dirichlet  $L$ -series given by  $L(s, \chi_0) = \sum_{n=1}^{\infty} \chi_0(n) n^{-s}$  for  $\text{Re } s > 1$ . (Peripherally, a natural question is: Is there a characterisation of the multiplicative subsemigroups  $S$  of  $\mathbb{N}$  containing

1, for which the lacunary zeta functions  $\zeta_S$  arise from modular forms? See, e.g., [1], for background on modular forms and their link to Dirichlet series.) We have the following (shown along the same lines as the proof for  $\mathcal{H}^\infty$ - $\mathcal{H}^2$  case given in [14, Theorem 6.4.7]).

**Proposition 3.1.** *Let  $S$  be a multiplicative subsemigroup of  $\mathbb{N}$  containing 1. Then  $\mathcal{H}_S^\infty$  is exactly the multiplier algebra of  $\mathcal{H}_S^2$ , that is, a function  $f$  defined on  $\mathbb{C}_{\frac{1}{2}}$  satisfies  $fg \in \mathcal{H}_S^2$  for all  $g \in \mathcal{H}_S^2$  if and only if  $f$  has an extension to  $\mathbb{C}_0$  which is an element of  $\mathcal{H}_S^\infty$ . Moreover,  $\|f\|_\infty = \sup_{g \in \mathcal{H}_S^2, \|g\|_2 \leq 1} \|fg\|_2$ .*

Let  $\mathcal{P}$  denote the set of all *Dirichlet polynomials* (namely,  $f = \sum_{n=1}^{\infty} a_n n^{-s}$  for which there exists an  $N \in \mathbb{N}$  such that for all  $n > N$ ,  $a_n = 0$ ). For  $r \in \mathbb{N}$ , let  $N_r = \{n = p_1^{k_1} \cdots p_r^{k_r} : k_1, \dots, k_r \in \mathbb{N} \cup \{0\}\}$ . Define  $\mathcal{P}_r$  to be the set of all  $f = \sum_{n \in N_r} a_n n^{-s} \in \mathcal{P}$ , where for all  $n \in N_r$ ,  $a_n \in \mathbb{C}$ . We recall [14, Lemma 6.4.9].

**Lemma 3.2.** *For all  $s \in \mathbb{C}_0$ , and for all  $r \in \mathbb{N}$ , there exists a constant  $C_{s,r} > 0$  such that for all  $f \in \mathcal{P}_r$ , we have  $|f(s)| \leq C_{s,r} \|f\|_2$ .*

For  $\varphi \in L^1(\mathbb{R})$ , let  $\widehat{\varphi}$  be the Fourier transform of  $\varphi$ :  $\widehat{\varphi}(\xi) = \int_{\mathbb{R}} \varphi(t) e^{-i\xi t} dt$  for all  $\xi \in \mathbb{R}$ . Let  $E = \{\varphi \in L^1(\mathbb{R}) : \widehat{\varphi} \text{ has compact support}\}$ . If  $f = \sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}^2$ , and  $\varphi \in E$ , then following ‘vertical convolution identity’ holds (see, e.g., [14, Proof of Theorem 6.4.7]):  $\sum_{n=1}^{\infty} a_n \widehat{\varphi}(\log n) n^{-s} = \int_{\mathbb{R}} f(s+it) \varphi(t) dt$ ,  $s \in \mathbb{C}_{\frac{1}{2}}$ .

*Proof of Proposition 3.1.* If  $f \in \mathcal{H}_S^\infty$ , and  $g \in \mathcal{H}_S^2$ , then  $fg \in \mathcal{H}^2$ , and  $\|\varphi f\|_2 \leq \|\varphi\|_\infty \|f\|_2$ . Let  $f = \sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}_S^\infty$  and  $g = \sum_{n=1}^{\infty} b_n n^{-s} \in \mathcal{H}_S^2$ . The  $n^{\text{th}}$  coefficient of  $fg$  is  $c_n = \sum_{d|n} a_d b_{\frac{n}{d}}$ . If  $c_n \neq 0$ , then at least one of the summands, say  $a_d b_{\frac{n}{d}}$  is nonzero, implying  $d, \frac{n}{d} \in S$ , and so  $n = d \frac{n}{d} \in S$ . Thus if  $n \notin S$ , then  $c_n = 0$ . So  $fg \in \mathcal{H}_S^2$ . Hence if  $f \in \mathcal{H}_S^\infty$ , then the multiplication map  $\mathcal{H}_S^2 \ni g \mapsto M_f g := fg \in \mathcal{H}_S^2$  is well-defined, and  $\|M_f\| \leq \|f\|_\infty$ .

Next, let  $f: \mathbb{C}_{\frac{1}{2}} \rightarrow \mathbb{C}$  be such that  $fg \in \mathcal{H}_S^2$  for all  $g \in \mathcal{H}_S^2$ . Let  $M_f: \mathcal{H}_S^2 \rightarrow \mathcal{H}_S^2$  be the linear map of pointwise multiplication by  $f$ . As  $\mathbf{1} \in \mathcal{H}_S^2$ , we have  $f = M_f(\mathbf{1}) \in \mathcal{H}_S^2$ . By the closed graph theorem,  $M_f$  is a bounded operator. Denote the operator norm of  $M_f$  by  $\|M_f\|$ . Let  $f = \sum_{n=1}^{\infty} a_n n^{-s}$  for all  $s \in \mathbb{C}_{\frac{1}{2}}$ .

**Step 1.** First let  $f$  be a Dirichlet polynomial. We claim  $\|f\|_\infty = \|M_f\|$ . Fix  $r \in \mathbb{N}$  such that  $f \in \mathcal{P}_r$  and let  $s \in \mathbb{C}_0$ . By induction, for all  $k \in \mathbb{N}$ ,  $\|f^k\|_2 \leq \|M_f\|^k$ . Lemma 3.2 applied to  $f^k \in \mathcal{P}_r$  gives  $|f(s)|^k \leq C_{s,r} \|f^k\|_2 \leq C_{s,r} \|M_f\|^k$  for  $s \in \mathbb{C}_0$ , and so  $|f(s)| \leq C_{s,r}^{\frac{1}{k}} \|M_f\|$ . Passing to the limit that  $k \rightarrow \infty$  now yields  $|f(s)| \leq \|M_f\|$ . As  $s \in \mathbb{C}_0$  was arbitrary,  $\|f\|_\infty \leq \|M_f\|$ . Also, as  $f \in \mathcal{H}_S^2$  is a Dirichlet polynomial and  $\|f\|_\infty < \infty$ ,  $f \in \mathcal{H}_S^\infty$ . Then  $f$  is a multiplier on  $\mathcal{H}_S^2$  and  $\|M_f\| \leq \|f\|_\infty$  by the first part of the proof. So  $\|f\|_\infty = \|M_f\|$ .

**Step 2.** Now consider the general case when  $f$  need not be Dirichlet polynomial. For a function  $\varphi \in E$ , we define  $P_\varphi(s) = \sum_{n=1}^{\infty} a_n \widehat{\varphi}(\log n) n^{-s}$ . As  $\widehat{\varphi}$  has

compact support, and  $\log n \rightarrow \infty$  as  $n \rightarrow \infty$ , it follows that  $P_\varphi$  is a Dirichlet polynomial. We claim that  $\|M_{P_\varphi}\| \leq \|M_f\| \|\varphi\|_1$ . For a  $t \in \mathbb{R}$ , define the vertical translation operator  $T_t$  by  $(T_t g)(s) = g(s + it)$  for all  $g \in \mathcal{H}_S^2$ . Then  $T_t : \mathcal{H}_S^2 \rightarrow \mathcal{H}_S^2$  is a linear isometry on  $\mathcal{H}_S^2$ , and  $T_t f$  is a multiplier on  $\mathcal{H}_S^2$  satisfying  $\|M_{T_t f}\| = \|M_f\|$ . Indeed, for all  $g \in \mathcal{H}_S^2$ , we have  $(T_t f)g = T_t(f(T_{-t}g))$ , and  $\|(T_t f)g\|_2 = \|T_t(f(T_{-t}g))\|_2 = \|f(T_{-t}g)\|_2 \leq \|M_f\| \|T_{-t}g\|_2 = \|M_f\| \|g\|_2$ , giving  $\|M_{T_t f}\| \leq \|M_f\|$ . Then also  $\|M_f\| = \|M_{T_{-t}(T_t f)}\| \leq \|M_{T_t f}\|$ . The vertical convolution formula yields for  $s \in \mathbb{C}_{\frac{1}{2}}$  and  $g \in \mathcal{H}_S^2$  that:

$$(P_\varphi g)(s) = \left( \int_{\mathbb{R}} f(s + it) \varphi(t) dt \right) g(s) = \int_{\mathbb{R}} (T_t f)(s) g(s) \varphi(t) dt = \int_{\mathbb{R}} ((T_t f)g)(s) \varphi(t) dt.$$

We have  $P_\varphi g = \int_{\mathbb{R}} ((T_t f)g)(\cdot) \varphi(t) dt$  in  $\mathcal{H}_S^2$ , where the right-hand side is a vector-valued Pettis integral in  $\mathcal{H}_S^2$ , and

$$\begin{aligned} \|M_{P_\varphi} g\|_2 &= \|P_\varphi g\|_2 \leq \int_{\mathbb{R}} \|(T_t f)g\|_2 |\varphi(t)| dt \leq \int_{\mathbb{R}} \|M_{T_t f}\| \|g\|_2 |\varphi(t)| dt \\ &= \|M_f\| \|g\|_2 \int_{\mathbb{R}} |\varphi(t)| dt = \|M_f\| \|g\|_2 \|\varphi\|_1. \end{aligned}$$

Hence  $\|M_{P_\varphi}\| \leq \|M_f\| \|\varphi\|_1$ .

**Step 3.** Define the sequence  $(\varphi_m)_{m \in \mathbb{N}}$  in  $L^1(\mathbb{R})$  by  $\varphi_m(t) = \frac{m}{2\pi} \left( \frac{\sin \frac{mt}{2}}{\frac{mt}{2}} \right)^2$ ,  $t \in \mathbb{R}$ .

Then  $\widehat{\varphi_m}(\xi) = \max\{1 - \frac{|\xi|}{m}, 0\}$ , and as  $\widehat{\varphi_m}$  has compact support,  $\varphi_m \in E$  for all  $m \in \mathbb{N}$ . Since  $\varphi_m \geq 0$ ,  $1 = \widehat{\varphi_m}(0) = \int_{\mathbb{R}} \varphi_m(t) dt = \int_{\mathbb{R}} |\varphi_m(t)| dt = \|\varphi_m\|_1$ . Then  $P_{\varphi_m}(s) = \sum_{n=1}^{\infty} a_n \widehat{\varphi_m}(\log n) n^{-s} = \int_{\mathbb{R}} (T_t f)(s) \varphi_m(t) dt$  for all  $s \in \mathbb{C}$ . Steps 1 and 2 give  $\|P_{\varphi_m}\|_{\infty} = \|M_{P_{\varphi_m}}\| \leq \|M_f\| \|\varphi_m\|_1 = \|M_f\|_1 = \|M_f\|$  for all  $m \in \mathbb{N}$ . Taking a subsequence if necessary, one may assume, thanks to Montel's theorem, that  $P_{\varphi_m}$  tends to some  $F$  uniformly on compact subsets of  $\mathbb{C}_0$ , with  $\|F\|_{\infty} := \sup_{s \in \mathbb{C}_0} |F(s)| \leq \|M_f\|$ . Let  $\sigma > \frac{1}{2}$ ,  $t \in \mathbb{R}$ , and  $s = \sigma + it \in \mathbb{C}_{\frac{1}{2}}$ . By the Cauchy-Schwarz inequality,  $\sum_{n=1}^{\infty} |a_n| n^{-\sigma} \leq \left( \sum_{n=1}^{\infty} |a_n|^2 \right)^{\frac{1}{2}} \left( \sum_{n=1}^{\infty} n^{-2\sigma} \right)^{\frac{1}{2}} < \infty$ . Also  $\widehat{\varphi_m}(\log n) \rightarrow 1$  as  $m \rightarrow \infty$ , and  $0 \leq \widehat{\varphi_m}(\log n) \leq 1$ . Given  $\epsilon > 0$ , let  $N \in \mathbb{N}$  be such that  $\sum_{n=N+1}^{\infty} |a_n| n^{-\sigma} < \frac{\epsilon}{4}$ . Let  $m_n$ ,  $n \in \{1, \dots, N\}$  be such that

$$|\widehat{\varphi_{m_n}}(\log n) - 1| \leq \epsilon (2N \left( \sum_{n=1}^N |a_n| n^{-\sigma} + 1 \right))^{-1}.$$

Then for  $m > \max\{m_1, \dots, m_N\}$ , we have

$$\begin{aligned} |P_{\varphi_m}(s) - \sum_{n=1}^{\infty} a_n n^{-s}| &= \left| \sum_{n=1}^{\infty} a_n (\widehat{\varphi_{m_n}}(\log n) - 1) n^{-s} \right| \\ &\leq \sum_{n=1}^N |a_n| |\widehat{\varphi_{m_n}}(\log n) - 1| n^{-\sigma} + \sum_{n=N+1}^{\infty} |a_n| 2 n^{-\sigma} \leq N \frac{\epsilon}{2N} 1 + 2 \frac{\epsilon}{4} = \epsilon. \end{aligned}$$

Thus for each  $s \in \mathbb{C}_{\frac{1}{2}}$ , we have  $P_{\varphi_m}(s) \rightarrow \sum_{n=1}^{\infty} a_n n^{-s} = f(s)$  as  $m \rightarrow \infty$ . Hence  $f = F$  on  $\mathbb{C}_{\frac{1}{2}}$ . But  $f \in \mathcal{H}_S^2$ , and so it is a Dirichlet series. We have shown that  $f$  has a Dirichlet series which converges in  $\mathbb{C}_{\frac{1}{2}}$ , and this  $f$  admits a bounded holomorphic extension  $F$  to  $\mathbb{C}_0$ . Thus it follows that  $f \in \mathcal{H}^{\infty}$ . As

$f \in \mathcal{H}_S^2 \cap \mathcal{H}^\infty$ , we get  $f \in \mathcal{H}_S^\infty$ . Moreover,  $\|f\|_\infty = \|F\|_\infty \leq \|M_f\|$ . Since also  $\|M_f\| \leq \|f\|_\infty$ , we obtain  $\|f\|_\infty = \|M_f\|$ .  $\square$

## 4. Characterisation of the group of units

In this section we will show that  $f \in \mathcal{H}_S^\infty$  is invertible in  $\mathcal{H}_S^\infty$  if and only if  $\inf_{s \in \mathbb{C}_0} |f(s)| > 0$ . Below, for a unital commutative complex Banach  $A$ , we denote by  $A^{-1}$  the multiplicative group of all invertible elements of  $A$ . For  $\sigma \in \mathbb{R}$ , let  $\mathbb{C}_\sigma := \{s \in \mathbb{C} : \operatorname{Re} s > \sigma\}$ . Recall that for a Dirichlet series  $D = \sum_{n=1}^{\infty} a_n n^{-s}$ , the *abscissa of convergence* is  $\sigma_c(D) = \inf\{\sigma \in \mathbb{R} : D \text{ converges in } \mathbb{C}_\sigma\} \in [-\infty, \infty]$ . Similarly, the abscissa of *absolute* convergence of the Dirichlet series  $D$  is defined by  $\sigma_a(D) = \inf\{\sigma \in \mathbb{R} : D \text{ converges absolutely in } \mathbb{C}_\sigma\}$ . Then we have  $-\infty \leq \sigma_c(D) \leq \sigma_a(D) \leq \infty$ . Also,  $\sigma_a(D) \leq \sigma_c(D) + 1$ , see, e.g., [7, Prop. 1.3].

**Theorem 4.1.** *Let  $S$  be a multiplicative subsemigroup of  $\mathbb{N}$ .*

*Then  $(\mathcal{H}_S^\infty)^{-1} = \{f \in \mathcal{H}_S^\infty : \inf_{s \in \mathbb{C}_0} |f(s)| > 0\}$ .*

*Proof.* If  $f \in (\mathcal{H}_S^\infty)^{-1}$ , then there exists a  $g \in \mathcal{H}_S^\infty$  such that for all  $s \in \mathbb{C}_0$ ,  $f(s)g(s) = 1$ . In particular,  $g \neq 0$ , and so  $\|g\|_\infty > 0$ . Thus

$$\inf_{s \in \mathbb{C}_0} |f(s)| = \inf_{s \in \mathbb{C}_0} |g(s)|^{-1} = (\sup_{s \in \mathbb{C}_0} |g(s)|)^{-1} = \|g\|_\infty^{-1} > 0.$$

Conversely, let  $f = \sum_{n \in S} a_n n^{-s} \in \mathcal{H}_S^\infty$  be such that  $\delta := \inf_{s \in \mathbb{C}_0} |f(s)| > 0$ . By [5, Thm. 2.6], it can be seen that  $f \in (\mathcal{H}^\infty)^{-1}$ , i.e.,  $\frac{1}{f} \in \mathcal{H}^\infty$ . It remains to show  $\frac{1}{f} \in \mathcal{H}_S^\infty$ . Let  $\epsilon > 0$ . As  $\sigma_a(f) - \sigma_c(f) \leq 1$ , and  $\sigma_c(f) \leq 0$ , we get  $\sigma_a(f) \leq 1$ . Thus the Dirichlet series given by  $f_{1+\epsilon}(s) := \sum_{n \in S} a_n n^{-(1+\epsilon+s)} = \sum_{n \in S} a_n n^{-(1+\epsilon)} n^{-s}$  converges absolutely for all  $s \in \mathbb{C}$  with  $\operatorname{Re} s \geq 0$ . In particular, if  $s \in \mathbb{C}_0$  and  $\sigma := \operatorname{Re} s$ , then

$$\begin{aligned} |f_{1+\epsilon}(s) - a_1| &\leq \sum_{n \in S \setminus \{1\}} \frac{|a_n|}{n^{1+\epsilon}} n^{-\sigma} \leq \left( \sum_{n \in S \setminus \{1\}} |a_n|^2 \right)^{\frac{1}{2}} \left( \sum_{n \in S \setminus \{1\}} \frac{n^{-2\sigma}}{n^{2(1+\epsilon)}} \right)^{\frac{1}{2}} \\ &\leq \frac{1}{2^\sigma} \|f\|_2 \left( \sum_{n=1}^{\infty} \frac{1}{n^2} \right)^{\frac{1}{2}} \xrightarrow{\sigma \rightarrow \infty} 0. \end{aligned}$$

If  $a_1 = 0$ , then  $\delta = \inf_{s \in \mathbb{C}_0} |f(s)| > 0$  and the above implies  $0 < \delta \leq 0$ , a contradiction. Thus  $a_1 \neq 0$ . The above also shows that there exists a  $\sigma_0 > 0$  such that for all  $s \in \mathbb{C}_{\sigma_0}$ , we have with  $\sigma := \operatorname{Re} s$  that

$$|f_{1+\epsilon}(s) - a_1| = \left| \sum_{n \in S \setminus \{1\}} \frac{a_n}{n^{1+\epsilon}} n^{-s} \right| \leq \sum_{n \in S \setminus \{1\}} \frac{|a_n|}{n^{1+\epsilon}} n^{-\sigma} < \frac{|a_1|}{2}. \quad (*)$$

In the half-plane  $\mathbb{C}_{\sigma_0}$ ,

$$\frac{1}{f_{1+\epsilon}(s)} = (a_1 + \sum_{n \in S \setminus \{1\}} \frac{a_n}{n^{1+\epsilon}} n^{-s})^{-1} = a_1^{-1} \left( 1 + \sum_{m=1}^{\infty} (-1)^m (a_1^{-1} \sum_{n \in S \setminus \{1\}} \frac{a_n}{n^{1+\epsilon}} n^{-s})^m \right), \quad (\star)$$

where the geometric series converges on account of (\*). Thanks to the inequality  $\sum_{m=1}^{\infty} (|a_1|^{-1} \sum_{n \in S \setminus \{1\}} \frac{|a_n|}{n^{1+\epsilon}} n^{-\sigma})^m \leq \sum_{m=1}^{\infty} \frac{1}{2^m} < \infty$ , it follows that we can

rearrange the terms in  $(\star)$ , and obtain a sequence  $(c_n)_{n \in \mathbb{N}}$  in  $\mathbb{C}$  such that for all  $s \in \mathbb{C}_{\sigma_0}$ , we have  $\frac{1}{f_{1+\epsilon}(s)} = \sum_{n \in S} c_n n^{-s}$ . Note that we used the semigroup property of  $S$  here, since for  $n \in S$ ,  $(n^{-s})^m = (n^m)^{-s}$ , and  $n^m \in S$ . But if the Dirichlet series for  $\frac{1}{f} \in \mathcal{H}^\infty$  is given by  $\frac{1}{f(s)} = \sum_{n=1}^{\infty} b_n n^{-s}$  for  $s \in \mathbb{C}_0$ , then we obtain from the above that for  $s \in \mathbb{C}_{\sigma_0}$ ,

$$\sum_{n=1}^{\infty} \frac{b_n}{n^{1+\epsilon}} n^{-s} = \frac{1}{f(1+\epsilon+s)} = \frac{1}{f_{1+\epsilon}(s)} = \sum_{n \in S} c_n n^{-s}.$$

In particular, for  $n \in \mathbb{N} \setminus S$ , by the uniqueness of Dirichlet series coefficients (see, e.g., [6, Thm. 7, §5, Chap. X]),  $\frac{b_n}{n^{1+\epsilon}} = 0$ , and so  $b_n = 0$ . This shows that  $\frac{1}{f(s)} = \sum_{n=1}^{\infty} b_n n^{-s} = \sum_{n \in S} b_n n^{-s}$ , and so  $\frac{1}{f} \in \mathcal{H}_S^\infty$ , as wanted.  $\square$

Let  $\mathcal{A}_u$  be the subset of  $\mathcal{H}^\infty$  of Dirichlet series that are uniformly continuous in  $\mathbb{C}_0$ . Alternatively,  $\mathcal{A}_u$  is precisely the closure of Dirichlet polynomials in the  $\|\cdot\|_\infty$  norm (see [2, Thm. 2.3]). For a multiplicative subsemigroup  $S$  of  $\mathbb{N}$  containing 1, we introduce  $\mathcal{A}_{u,S} = \mathcal{A}_u \cap \mathcal{H}_S^\infty$ . Then  $\mathcal{A}_{u,S}$  is a unital Banach algebra with pointwise operations and the  $\|\cdot\|_\infty$  norm. Let  $\mathcal{W}$  denote the set of all Dirichlet series  $f = \sum_{n=1}^{\infty} a_n n^{-s}$  such that  $\|f\|_1 := \sum_{n=1}^{\infty} |a_n| < \infty$ . With pointwise operations and the  $\|\cdot\|_1$  norm,  $\mathcal{W}$  is a Banach algebra. Then  $\mathcal{W} \subset \mathcal{A}_u \subset \mathcal{H}^\infty$ . In the case of  $\mathcal{W}$ , an analogue of the classical Wiener 1/f lemma ([17, p.91]) for the unit circle holds, i.e., if  $f \in \mathcal{W}$  is such that  $\inf_{s \in \mathbb{C}_+} |f(s)| > 0$ , then  $\frac{1}{f} \in \mathcal{W}$  (see, e.g., [11, Thm. 1], and also [9] for an elementary proof). For a multiplicative subsemigroup  $S$  of  $\mathbb{N}$  containing 1, we introduce  $\mathcal{W}_S = \mathcal{W} \cap \mathcal{H}_S^\infty$ . Then  $\mathcal{W}_S$  is a unital Banach algebra with pointwise operations and the  $\|\cdot\|_1$  norm. We have  $\mathcal{W}_S \subset \mathcal{A}_{u,S} \subset \mathcal{H}_S^\infty$ .

**Corollary 4.2.** *Let  $S$  be a multiplicative subsemigroup of  $\mathbb{N}$  containing 1, and  $A \in \{\mathcal{A}_{u,S}, \mathcal{W}_S\}$ . Then  $f \in A^{-1}$  if and only if  $\delta := \inf_{s \in \mathbb{C}_0} |f(s)| > 0$ .*

*Proof.* If  $f \in A^{-1}$ , then  $f \in (\mathcal{H}_S^\infty)^{-1}$ . So  $\inf_{s \in \mathbb{C}_0} |f(s)| > 0$  holds by Theorem 4.1. Conversely, let  $f \in A$  satisfy  $\delta := \inf_{s \in \mathbb{C}_0} |f(s)| > 0$ . Theorem 4.1 implies  $\frac{1}{f} \in \mathcal{H}_S^\infty$ . For  $A = \mathcal{W}_S$ , the Wiener 1/f theorem for  $\mathcal{W}$  gives  $\frac{1}{f} \in \mathcal{W}$ , and so  $\frac{1}{f} \in \mathcal{W} \cap \mathcal{H}_S^\infty = \mathcal{W}_S$ . For  $A = \mathcal{A}_{u,S}$ , as  $\mathcal{A}_{u,S} \subset \mathcal{H}_S^\infty$ ,  $\frac{1}{f} \in \mathcal{H}_S^\infty$ . Also,  $\frac{1}{f}$  is uniformly continuous in  $\mathbb{C}_0$ :  $|\frac{1}{f}(w) - \frac{1}{f}(z)| = \frac{|f(z) - f(w)|}{|f(z)||f(w)|} \leq \frac{1}{\delta^2} |f(w) - f(z)|$ , for all  $z, w \in \mathbb{C}_0$ , and  $f$  is uniformly continuous in  $\mathbb{C}_0$ . Thus  $\frac{1}{f} \in \mathcal{A}_u$ , and so  $\frac{1}{f} \in \mathcal{A}_u \cap \mathcal{H}_S^\infty = \mathcal{A}_{u,S}$ .  $\square$

## 5. The image of $\mathcal{H}_S^\infty$ under the Bohr transform

In this section, we relate  $\mathcal{H}_S^\infty$  to a natural Banach subalgebra of the Hardy algebra  $H^\infty(B_{c_0})$  on the unit ball  $B_{c_0}$  of  $c_0$  (space of complex sequences converging to 0 with termwise operations and the supremum norm), with vanishing derivatives of certain orders at  $\mathbf{0} \in c_0$ . We first introduce some notation. The Banach space  $\ell^\infty$  is the set of all bounded complex sequences with termwise defined operations and the supremum norm: for  $(a_n)_{n \in \mathbb{N}} \in \ell^\infty$ ,  $\|(a_n)_{n \in \mathbb{N}}\|_\infty := \sup_{n \in \mathbb{N}} |a_n|$ . We denote by  $c_0$  the Banach subspace of  $\ell^\infty$  of all sequences converging to 0, and  $c_{00}$  is the subset of  $c_0$  of all sequences in  $\ell^\infty$  with compact support. Let  $B_{c_0}$  be the open unit ball of  $c_0$  with centre  $\mathbf{0}$ . Let  $\mathbf{N}$  be the set of all compactly supported sequences that take values in the set of nonnegative integers, i.e.,  $\mathbf{N}$  is the subset of  $c_{00}$  consisting of sequences whose terms belong to  $\mathbb{N} \cup \{0\}$ . If  $\boldsymbol{\nu} = (n_k)_{k \in \mathbb{N}} \in \mathbf{N}$  and  $K \in \mathbb{N}$  is such that for all  $k > K$ ,  $n_k = 0$ , then  $\mathbf{z}^\boldsymbol{\nu} := z_1^{n_1} \cdots z_K^{n_K}$  for all  $\mathbf{z} \in B_{c_0}$ ,  $\partial^\boldsymbol{\nu} := \partial_{z_1}^{n_1} \cdots \partial_{z_K}^{n_K}$ ,  $|\boldsymbol{\nu}| := n_1 + \cdots + n_K$ , and  $\boldsymbol{\nu}! := n_1! \cdots n_K!$ . If  $\boldsymbol{\alpha} = (\alpha_k)_{k \in \mathbb{N}}, \boldsymbol{\beta} = (\beta_k)_{k \in \mathbb{N}} \in \mathbf{N}$ , then  $\boldsymbol{\beta} \preccurlyeq \boldsymbol{\alpha}$  if for all  $k \in \mathbb{N}$ ,  $\beta_k \leq \alpha_k$ . If  $\boldsymbol{\alpha}, \boldsymbol{\beta} \in \mathbf{N}$  satisfy  $\boldsymbol{\beta} \preccurlyeq \boldsymbol{\alpha}$  then

$$(\boldsymbol{\alpha}) := \frac{\boldsymbol{\alpha}!}{\boldsymbol{\beta}!(\boldsymbol{\alpha} - \boldsymbol{\beta})!}.$$

By the fundamental theorem of arithmetic, for all  $n \in \mathbb{N}$ ,  $n = \prod_{k=1}^{\infty} p_k^{\nu_k(n)}$ , where  $\nu_k(n) \in \mathbb{N} \cup \{0\}$  and  $(p_k)_{k \in \mathbb{N}}$  is the sequence of primes in ascending order. We have  $\boldsymbol{\nu}(n) := (\nu_k(p))_{k \in \mathbb{N}} \in \mathbf{N}$ . A seminal observation by H. Bohr [4], is that by putting  $z_1 = 2^{-s}, z_2 = 3^{-s}, \dots, z_n = p_n^{-s}, \dots$ , a Dirichlet series in  $\mathcal{H}^\infty$  can be formally considered as a power series of infinitely many variables. So  $f(s) = \sum_{n=1}^{\infty} a_n n^{-s} \in \mathcal{H}^\infty$  gives the formal power series  $F(\mathbf{z}) = \sum_{n=1}^{\infty} a_n \prod_{k=1}^{\infty} z_k^{\nu_k(n)}$ , where  $\mathbf{z} = (z_1, z_2, z_3, \dots)$ . We recall the precise result below.

Let  $H^\infty(B_{c_0})$  be the complex Banach algebra of bounded holomorphic (i.e., complex Fréchet differentiable) functions  $F : B_{c_0} \rightarrow \mathbb{C}$ , with pointwise operations, and the supremum norm. A function  $P : c_0 \rightarrow \mathbb{C}$  is an *m-homogeneous polynomial* if there exists a continuous *m*-linear form  $A : c_0^m \rightarrow \mathbb{C}$ , such that  $P(\mathbf{z}) = A(\mathbf{z}, \dots, \mathbf{z})$  for every  $\mathbf{z} \in c_0$ . The 0-homogeneous polynomials are constant functions. We first recall that for a holomorphic  $F : \mathbb{D}^N \rightarrow \mathbb{C}$ , we have

$$F(\mathbf{z}) = \sum_{m=0}^{\infty} \sum_{\boldsymbol{\alpha} \in (\mathbb{N} \cup \{0\})^N, |\boldsymbol{\alpha}|=m} c_{\boldsymbol{\alpha}}(F) \mathbf{z}^{\boldsymbol{\alpha}} \quad \text{for all } \mathbf{z} \in \mathbb{D}^N,$$

where for each  $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_N) \in (\mathbb{N} \cup \{0\})^N$ ,  $|\boldsymbol{\alpha}| = \alpha_1 + \cdots + \alpha_N$ , and

$$c_{\boldsymbol{\alpha}}(F) = \frac{1}{(2\pi i)^N} \int_{|\zeta_1|=r_1} \cdots \int_{|\zeta_N|=r_N} \frac{f(\zeta_1, \dots, \zeta_N)}{\zeta_1^{\alpha_1+1} \cdots \zeta_N^{\alpha_N+1}} d\zeta_N \cdots d\zeta_1,$$

and arbitrary  $r_1, \dots, r_N \in (0, 1)$ . Also,

$$c_{\boldsymbol{\alpha}}(F) = \frac{(\partial^{\boldsymbol{\alpha}} F)(\mathbf{0})}{\boldsymbol{\alpha}!}.$$

Then for every  $m$ , the function  $P_m : \mathbb{C}^N \rightarrow \mathbb{C}$  given by

$$P_m(\mathbf{z}) = \sum_{\boldsymbol{\alpha} \in (\mathbb{N} \cup \{0\})^N, |\boldsymbol{\alpha}|=m} c_{\boldsymbol{\alpha}}(F) \mathbf{z}^{\boldsymbol{\alpha}},$$

is an  $m$ -homogeneous polynomial, and we have  $F = \sum_{m=0}^{\infty} P_m$  pointwise on  $\mathbb{D}^N$ . It was shown in [7, Prop. 2.28] that for a bounded function  $F : B_{c_0} \rightarrow \mathbb{C}$ ,  $F \in H^\infty(B_{c_0})$  if and only if there exists a unique sequence  $(P_m)_{m \in \mathbb{N}_0}$  of  $m$ -homogeneous polynomials on  $c_0$ , such that  $F = \sum_{m=0}^{\infty} P_m$  pointwise on  $B_{c_0}$ . Moreover, in this case,

$$P_m(z) = \sum_{\alpha \in (\mathbb{N} \cup \{0\})^N, |\alpha|=m} c_\alpha(F) z^\alpha$$

for all  $z \in B_{c_0}$ ,  $f = \sum_{m=0}^{\infty} P_m$  uniformly on  $rB_{c_0}$  for every  $0 < r < 1$ , and  $\|P_m\|_\infty \leq \|F\|_\infty$ . We also recall from [10]:

**Proposition 5.1.** *The map sending  $F \in H^\infty(B_{c_0})$  to  $f = \sum_{n=1}^{\infty} \frac{1}{(\nu(n))!} (\partial^{\nu(n)} F)(\mathbf{0}) n^{-s}$ , is a Banach algebra isometric isomorphism from  $H^\infty(B_{c_0})$  to  $\mathcal{H}^\infty$ .*

The set  $\mathbf{N}$  is an additive semigroup with termwise addition. If  $\mathbf{S}$  is an additive subsemigroup of  $\mathbf{N}$  containing the zero sequence  $\mathbf{0} := (0)_{k \in \mathbb{N}}$ , then let  $H_{\mathbf{S}}^\infty(B_{c_0})$  be the subalgebra of  $H^\infty(B_{c_0})$  consisting of all  $F \in H^\infty(B_{c_0})$  such that for all  $\nu \in \mathbf{N} \setminus \mathbf{S}$ ,  $(\partial^\nu F)(\mathbf{0}) = 0$ . The fact that  $H_{\mathbf{S}}^\infty(B_{c_0})$  is an algebra follows immediately from the multivariable Leibniz rule, as follows. If  $\alpha \in \mathbf{N} \setminus \mathbf{S}$  and  $\beta \preccurlyeq \alpha$ , then either  $\beta \notin \mathbf{S}$  or  $\alpha - \beta \notin \mathbf{S}$  (otherwise  $\alpha = \beta + (\alpha - \beta) \in \mathbf{S}$ , a contradiction), and so either  $(\partial^\beta F)(\mathbf{0}) = 0$  or  $(\partial^{\alpha-\beta} G)(\mathbf{0}) = 0$ , showing that  $(\partial^\alpha (FG))(\mathbf{0}) = \sum_{\beta \preccurlyeq \alpha} \binom{\alpha}{\beta} (\partial^\beta F)(\mathbf{0}) \cdot (\partial^{\alpha-\beta} G)(\mathbf{0}) = 0$ , since each summand on the right-hand side is zero. The completeness is a consequence of the Taylor series expansion recalled above ([7, Proposition 2.28]). Thus  $H_{\mathbf{S}}^\infty(B_{c_0})$  is a unital Banach subalgebra of  $H^\infty(B_{c_0})$  with the supremum norm.

If  $S$  is a multiplicative subsemigroup of  $\mathbb{N}$  containing 1, then the map  $S \ni n \mapsto \nu(n) := (\nu_k(n))_{k \in \mathbb{N}} \in \mathbf{N}$  is an injective semigroup homomorphism, and we denote its image by  $\nu(S)$ . An immediate corollary of Proposition 5.1 is the following.

**Corollary 5.2.** *Let  $S$  be a multiplicative subsemigroup of  $\mathbb{N}$  containing 1, and let  $\nu(S)$  be the image of  $S$  under the map  $S \ni n \mapsto \nu(n)$ .*

*The map sending elements  $F \in H_{\nu(S)}^\infty(B_{c_0})$  to  $f = \sum_{n=1}^{\infty} \frac{1}{(\nu(n))!} (\partial^{\nu(n)} F)(\mathbf{0}) n^{-s}$ , is a Banach algebra isometric isomorphism from  $H_{\nu(S)}^\infty(B_{c_0})$  to  $\mathcal{H}_S^\infty$ .*

Let  $A$  be a commutative unital complex semisimple Banach algebra. The dual space  $A^*$  of  $A$  consists of all continuous linear complex-valued maps on  $A$ . The maximal ideal space  $M(A)$  of  $A$  is the set of all nonzero multiplicative elements in  $A^*$  (the kernels of which are then in one-to-one correspondence with the maximal ideals of  $A$ ). As  $M(A) \subset A^*$ , it inherits the weak-\* topology of  $A^*$ . The topological space  $M(A)$  is a compact Hausdorff space, and is contained in the unit sphere of the Banach space  $A^*$  with the operator norm,  $\|\varphi\| = \sup_{a \in A, \|a\| \leq 1} |\varphi(a)|$  for all  $\varphi \in A^*$ . Let  $C(M(A))$  be the Banach algebra of complex-valued continuous maps on  $M(A)$  with pointwise operations and the

norm  $\|f\|_\infty$  where  $\|f\|_\infty = \sup_{\varphi \in M(A)} |f(\varphi)|$  for  $f \in C(M(A))$ . The *Gelfand transform*  $\widehat{a} \in C(M(A))$  of  $a \in A$  is defined by  $\widehat{a}(\varphi) = \varphi(a)$  for  $\varphi \in M(A)$ .

For  $\mathbf{z}_* \in B_{c_0}$ , the map  $\varphi_{\mathbf{z}_*} : H_{\nu(S)}^\infty(B_{c_0}) \rightarrow \mathbb{C}$  defined by  $\varphi_{\mathbf{z}_*}(f) = f(\mathbf{z}_*)$  for all  $f \in H_{\nu(S)}^\infty(B_{c_0})$  is an element of  $M(H_{\nu(S)}^\infty(B_{c_0}))$ . We will use this observation to prove Theorem 6.2 in the next and final section.

## 6. Bass stable rank

In algebraic  $K$ -theory, the notion of ‘stable rank’ of a ring was introduced to facilitate  $K$ -theoretic computations (see [3]). We recall the pertinent definitions below.

Let  $A$  be a unital commutative ring with unit element denoted by 1. An element  $(a_1, \dots, a_n) \in A^n$  is *unimodular* if there exist  $b_1, \dots, b_n \in A$  such that  $b_1a_1 + \dots + b_na_n = 1$ . The set of all unimodular elements of  $A^n$  is denoted by  $U_n(A)$ . We call  $(a_1, \dots, a_{n+1}) \in U_{n+1}(A)$  *reducible* if there exist  $x_1, \dots, x_n \in A$  such that  $(a_1 + x_1a_{n+1}, \dots, a_n + x_na_{n+1}) \in U_n(A)$ . The *Bass stable rank* of  $A$  is the least  $n \in \mathbb{N}$  for which every element in  $U_{n+1}(A)$  is reducible. The *Bass stable rank of  $A$  is infinite* if there is no such  $n$ .

What is the Bass stable rank of  $\mathcal{H}_S^\infty$ ?

- If  $S = \{1\}$ , then  $\mathcal{H}_S^\infty$  is  $\mathbb{C}$  as a ring, and the Bass stable rank is 1.
- If  $S = \{p^k : k \in \mathbb{N} \cup \{0\}\}$ ,  $p$  a prime, then  $\mathcal{H}_S^\infty$  is isomorphic as a Banach algebra to the Hardy algebra  $H^\infty$ , whose Bass stable rank is 1 (see [16]).
- If  $S = \mathbb{N}$ , the Bass stable rank of  $\mathcal{H}_S^\infty = \mathcal{H}^\infty$  is infinite ([13, Thm. 1.6]).

It is natural to expect that the Bass stable rank of  $\mathcal{H}_S^\infty$  ought to be related to an appropriate notion of ‘rank/dimension’ of the semigroup  $S$ , which perhaps gives lower or upper bounds on the Bass stable rank. There are several notions of the rank of a semigroup. For instance, we recall below the notion of ‘lower rank’ and the notion of ‘upper rank’ introduced in [12]. For every subset  $\mathcal{S}$  of a semigroup  $\Sigma$ , there is at least one subsemigroup of  $\Sigma$  containing  $\mathcal{S}$ , namely  $\Sigma$  itself. So the intersection of all the subsemigroups of  $\Sigma$  containing  $\mathcal{S}$  is a subsemigroup of  $\Sigma$  containing  $\mathcal{S}$ , and we denote it by  $\langle \mathcal{S} \rangle$ . For  $\emptyset \neq \mathcal{S} \subset \Sigma$ , the subsemigroup  $\langle \mathcal{S} \rangle$  consists of all elements of  $\Sigma$  that can be expressed as finite products of elements of  $\mathcal{S}$ . Let  $|\mathcal{S}|$  denote the cardinal number of  $\mathcal{S}$ . The *lower rank* of  $\Sigma$  is  $r(\Sigma) := \inf\{|\mathcal{S}| : \mathcal{S} \subset \Sigma, \text{ and } \langle \mathcal{S} \rangle = \Sigma\}$ . A subset  $\mathcal{S}$  of a semigroup  $\Sigma$  is *independent* if for all  $s \in \mathcal{S}$ , we have  $s \notin \langle \mathcal{S} \setminus \{s\} \rangle$ . The *upper rank* of  $\Sigma$  is  $R(\Sigma) := \sup\{|\mathcal{S}| : \mathcal{S} \subset \Sigma, \text{ and } \mathcal{S} \text{ is independent}\}$ . It was shown in [12] that  $r(S) \leq R(S)$ . We have the following:

**Conjecture 6.1.** *Let  $S$  be a multiplicative subsemigroup of  $\mathbb{N}$  such that  $1 \in S$ , and  $R(S) = \infty$ . Then the Bass stable rank of  $\mathcal{H}_S^\infty$  is infinite.*

Let  $S$  be a multiplicative subsemigroup of  $\mathbb{N}$  containing 1,  $Q \subset S$  be infinite, and  $\langle Q \rangle = S$ . As  $Q \subset \mathbb{N}$ ,  $Q$  must be countable. Arrange its members in strictly increasing order as  $q_1 < q_2 < q_3 < \dots$ . We have the following.

**Theorem 6.2.** *Let  $S$  be a multiplicative subsemigroup of  $\mathbb{N}$  containing 1, and let  $q_1 < q_2 < q_3 < \dots$  be a sequence in  $S$  such that for all  $n \in S$ ,  $n = \prod_{k=1}^{\infty} q_k^{\alpha_k(n)}$  for a unique compactly supported sequence  $(\alpha_k(n))_{k \in \mathbb{N}}$  of nonnegative integers. Then the Bass stable rank of  $\mathcal{H}_S^\infty$  is infinite.*

*Proof.* We follow an approach similar to the one from [13, Thm. 1.6], except that the role of the primes is now replaced by  $(q_k)_{k \in \mathbb{N}}$ . Fix  $n \in \mathbb{N}$ . Define  $f_1, \dots, f_{n+1} \in \mathcal{H}_S^\infty$  by  $f_1 = q_1^{-s}, \dots, f_n = q_n^{-s}, f_{n+1} = \prod_{j=1}^n (\mathbf{1} - (q_j q_{n+j})^{-s})$ . Then  $(f_1, \dots, f_{n+1}) \in U_{n+1}(\mathcal{H}_S^\infty)$  since expanding the product defining  $f_{n+1}$  gives  $f_{n+1} = \mathbf{1} - q_1^{-s} \cdot g_1 - \dots - q_n^{-s} \cdot g_n = \mathbf{1} - f_1 g_1 - \dots - f_n g_n$ , for suitable  $g_1, \dots, g_n \in \mathcal{H}_S^\infty$ , and so with  $g_{n+1} := 1$ , we get  $f_1 g_1 + \dots + f_n g_n + f_{n+1} g_{n+1} = \mathbf{1}$ . Let  $(f_1, \dots, f_{n+1})$  be reducible, and the elements  $x_1, \dots, x_n \in \mathcal{H}_S^\infty$  be such that  $(q_1^{-s} + x_1 f_{n+1}, \dots, q_n^{-s} + x_n f_{n+1}) \in U_n(\mathcal{H}_S^\infty)$ . Let  $y_1, \dots, y_n \in \mathcal{H}_S^\infty$  be such that  $(q_1^{-s} + x_1 f_{n+1}) y_1 + \dots + (q_n^{-s} + x_n f_{n+1}) y_n = \mathbf{1}$ . Denote the isomorphism from Corollary 5.2 by  $\iota : \mathcal{H}_S^\infty \rightarrow H_{\nu(S)}^\infty(B_{c_0})$ . Then we have  $(\iota(q_1^{-s}) + \iota(x_1) \iota(f_{n+1})) \iota(y_1) + \dots + (\iota(q_n^{-s}) + \iota(x_n) \iota(f_{n+1})) \iota(y_n) = \mathbf{1}$ . Taking the Gelfand transform, we obtain

$$(\widehat{\iota(q_1^{-s})} + \widehat{\iota(x_1)} \widehat{\iota(f_{n+1})}) \widehat{\iota(y_1)} + \dots + (\widehat{\iota(q_n^{-s})} + \widehat{\iota(x_n)} \widehat{\iota(f_{n+1})}) \widehat{\iota(y_n)} = 1. \quad (\star)$$

For  $\mathbf{z} = (z_1, \dots, z_n) \in \mathbb{C}^n$ , let  $\mathbf{z}_* = (z_1, \dots, z_n, \overline{z_1}, \dots, \overline{z_n}, 0, \dots) \in B_{c_0}$ , and

$$\Phi(\mathbf{z}) = \begin{cases} -\prod_{j=1}^n (1 - |z_j|^2) (\widehat{\iota(x_1)}(\varphi_{\mathbf{z}_*}), \dots, \widehat{\iota(x_n)}(\varphi_{\mathbf{z}_*})) & \text{if } |z_j| < 1, j = 1, \dots, n, \\ \mathbf{0} \quad (\in \mathbb{C}^n) & \text{otherwise.} \end{cases}$$

Then  $\Phi$  is a continuous map from  $\mathbb{C}^n$  into  $\mathbb{C}^n$ . We have that  $\Phi$  vanishes outside  $\mathbb{D}^n$ , and so  $\max_{\mathbf{z} \in \mathbb{D}^n} \|\Phi(\mathbf{z})\|_2 = \sup_{\mathbf{z} \in \mathbb{C}^n} \|\Phi(\mathbf{z})\|_2$ , where  $\|\cdot\|_2$  denotes the usual Euclidean norm in  $\mathbb{C}^n$ . This implies that there must exist an  $r \geq 1$  such that  $\Phi$  maps  $K := r\overline{\mathbb{D}^n}$  into  $K$ . Since the set  $K$  is compact and convex, by Brouwer's Fixed Point Theorem (see, e.g., [15, Theorem 5.28]), it follows that there exists a  $\zeta \in K$  such that  $\Phi(\zeta) = \zeta$ . Since  $\Phi$  is zero outside  $\mathbb{D}^n$ , we see that  $\zeta \in \mathbb{D}^n$ . Let  $\zeta = (\zeta_1, \dots, \zeta_n)$ , and  $\zeta_* = (\zeta_1, \dots, \zeta_n, \overline{\zeta_1}, \dots, \overline{\zeta_n}, 0, \dots) \in B_{c_0}$ . Then for each  $j \in \{1, \dots, n\}$ ,

$$\begin{aligned} 0 &= \zeta_j + \prod_{k=1}^n (1 - |\lambda_k|^2) \widehat{\iota(x_j)}(\varphi_{\zeta_*}) \prod_{k=1}^n (1 - |\lambda_k|^2) \\ &= \zeta_j + (\widehat{\iota(x_j)} \widehat{\iota(f_{n+1})})(\varphi_{\zeta_*}). \end{aligned} \quad (\star\star)$$

But from  $(\star)$ , we have  $\sum_{j=1}^n (\widehat{\iota(q_j^{-s})} + \widehat{\iota(x_j)} \widehat{\iota(f_{n+1})}) \widehat{\iota(y_j)} \Big|_{\varphi_{\zeta_*}} = 1$ , which together with  $(\star\star)$  yields  $0 = 1$ , a contradiction. As  $n \in \mathbb{N}$  was arbitrary, it follows that the Bass stable rank of  $\mathcal{H}_S^\infty$  is infinite.  $\square$

E.g., consider  $S = \{1\} \cup \{n : \text{there exist } x, y \in \mathbb{N} \text{ such that } n = x^2 + y^2\}$  from Example 2.2(8). Then the Bass stable rank of  $\mathcal{H}_S^\infty$  is infinite, as  $S$  is generated by  $P \cup Q$ , where  $P$  consists of primes  $p$  that are not of the form

$4k + 3$  for some  $k \in \mathbb{N} \cup \{0\}$ , and  $Q$  is the set of elements  $q = p^2$ , where  $p$  is a prime of the form  $4k + 3$  for some  $k \in \mathbb{N} \cup \{0\}$ .

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### Declarations

**Conflicts of Interest** The author declares that he has no conflict of interest.

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